



# On the linearity of on-line computable functions

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## Abstract

In this paper, we study the linearity of on-line functions computable by finite automata. Two necessary and sufficient conditions are given for ensuring the linearity of on-line functions computable by finite automata.

We provide an example of a non-affine on-line function, computed by a finite automaton.

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## 0. Introduction

The implementation of algorithms for manipulating the real numbers has always met with difficulties. With the aim of seeking increasingly high-performance circuits, researchers have been led to come up with fundamentally new algorithms.

One of the methods that has been thought of was based on the Avizienis number system [1] where digits may be negative. In this system, it is possible to avoid propagating the carries in an interesting and efficient way. This is the foundation of on-line arithmetic which was introduced by Ercegovic and Trivedi in 1977 [4].

On-line computations are carried out from left to right, digit by digit. The  $j$ th digit of the result is computed from the first  $\delta + j$  digits of the operands where  $\delta$  is a constant, called the on-line delay.

In [5], Jean-Michel Muller considers functions which are on-line computable by finite automata and he proves the following results.

**Theorem 0.1.** *Let  $f$  be a function of one variable, with a piecewise continuous second derivative. If  $f$  is on-line computable by a finite automaton, then in each interval where  $f''$  is continuous,  $f$  is an affine function of the form  $f(x) = ax + b$ , where  $a, b$  are rational numbers.*

**Theorem 0.2.** *Let  $f$  be a function of one variable, with a piecewise continuous second derivative. If  $f$  is on-line computable by a finite automaton, then the breakpoints of  $f$ , i.e. the points where the second derivative of  $f$  is not continuous, are rational numbers.*

This paper deals with linearity conditions for functions which are on-line computable by finite automata.

### 1. Three results about on-line computable functions

**Definition 1.** Let

$$D_a = \{-a, -a + 1, \dots, -1, 0, 1, 2, \dots, a - 1, a\},$$

where

$$\frac{r-1}{2} \leq a \leq r-1.$$

Let

$$\beta = \sum_{i=1}^{\infty} \beta_i r^{-i} \quad \text{where } \beta_i \in D_a.$$

$\sum_{i=1}^{\infty} \beta_i r^{-i}$  is a representation of the number  $\beta$  in the Avizienis system with radix  $r$  and digit-set  $D_a$ .

In this paper, we consider numbers which are represented in radix  $r=2$  with  $a=1$  and we denote

$$\sum_{i=1}^{\infty} \beta_i r^{-i} \quad \text{by } 0, \beta_1 \beta_2 \dots \beta_n \dots$$

Recall that, for any alphabet  $\Sigma$ ,  $\Sigma^*$  is the free monoid generated by  $\Sigma$ , and  $\varepsilon$  denotes the empty word.

We now define sequential machines. A sequential machine is a finite automaton which is endowed with an impression function, i.e. for any state  $q$  and input symbol  $x_0$  the machine will perform a transition, and will print output symbols  $y_1, y_2, \dots, y_i$  [4].

**Definition 2.** A sequential machine is given by

- (1) two finite alphabets  $\Sigma$  and  $\Gamma$ ;
- (2) a finite nonempty set of states  $Q$ ;
- (3) a transition function  $\lambda : Q \times \Sigma \rightarrow Q$ ;
- (4) an impression function  $\sigma : Q \times \Sigma \rightarrow \Gamma^*$ ;
- (5) an initial state denoted by  $q_1$ .

The transition function  $\lambda$  enables us to define a function  $\tilde{\lambda} : Q \times \Sigma^* \rightarrow Q$ , extending the function  $\lambda$  to words and defined by induction as

- (1)  $\tilde{\lambda}(q, \varepsilon) = q$ ;
- (2)  $\tilde{\lambda}(q, wa) = \lambda(\tilde{\lambda}(q, w), a)$  if  $a \in \Sigma$  and  $w \in \Sigma^*$ .

Similarly, the impression function  $\sigma$  can be extended to a function  $\tilde{\sigma} : Q \times \Sigma^* \rightarrow \Gamma^*$   
 $\tilde{\sigma}(q, wa) = \sigma(\tilde{\lambda}(q, w), a).$

In this paper we suppose that  $\Sigma = \Gamma = \{\bar{1}, 0, 1\}$  and  $\sigma(q_i, a) \in \{\varepsilon, \bar{1}, 0, 1\}$ , where the symbol  $\bar{1}$  is another denotation of  $-1$ , and  $\varepsilon$  is the empty word.

For any given sequence of inputs  $x_1, x_2, \dots, x_n, \dots$ , the sequence  $y_1, y_2, \dots, y_n, \dots$  defined by  $y_n = \tilde{\sigma}(q_1, x_1 x_2 \dots x_n)$ ,  $n \in \mathbb{N}$ , is called the sequence of outputs computed by the machine  $A$  for the sequence of inputs  $x_1, x_2, \dots, x_n, \dots$ .

**Definition 3.** Let  $f$  be a function from  $[-1, 1]$  to  $[-1, 1]$ . We say that the sequential machine  $A$  computes the on-line function  $f$  with delay  $\delta$ , where  $\delta$  is a nonnegative natural number  $\delta \geq 0$ , if for the sequence of inputs  $x_1, x_2, \dots, x_p$ ,  $x_i \in \{-1, 0, 1\}$ , machine  $A$  computes the sequence of outputs  $y_1, y_2, \dots, y_p$ , where  $y_i = \varepsilon$  if  $i \leq \delta$ , and  $y_i \in \{-1, 0, 1\}$  if  $i > \delta$ , and if moreover inputs and outputs are such that denoting

$$x = 0, x_1 x_2 \dots x_n \dots \quad \text{and} \quad y = 0, y_{\delta+1} y_{\delta+2} \dots y_n \dots$$

we have

$$f(x) = y.$$

We consider sequential machines running without delay.

**Definition 4.** Let  $A$  be a sequential machine. We say that the sequential machine  $A$  has a state period for input  $x = 0, x_1 x_2 \dots x_m \dots$  if there is a sequence  $q_j$  of states of  $A$ ,  $1 \leq j \leq k$  such that for the sequence of inputs  $x_1, x_2, \dots, x_m, \dots$  an integer  $n$  can be found, such that for all integers  $s, l$  with  $l \leq k$ ,

$$q_l = \tilde{\lambda}(q_1, x_1 x_2 \dots x_n \dots x_{n+l}) = \tilde{\lambda}(q_1, x_1 x_2 \dots x_n \dots x_{n+sk+l})$$

holds.

The number  $k$  is said to be the length of this state period,  $n$  is said to be the beginning of the state period, and  $q_1, q_2, \dots, q_k$  is said to be the state period for input  $x = 0, x_1 x_2 \dots x_m \dots$ .

Let  $A$  be a sequential machine with only one input. Let us assume that if we give the number  $x = 0, x_1 x_2 \dots x_n \dots x_l \dots$  as input to  $A$ , there is a state  $\Phi$  of machine  $A$  which occurs twice: i.e. there are two integers  $n, l$  with  $n < l$  such that

$$\Phi = \tilde{\lambda}(q_1, x_1 x_2 \dots x_n) = \tilde{\lambda}(q_1, x_1 x_2 \dots x_n \dots x_l). \quad (1)$$

Let  $p = l - n$ . Then write  $t_i = x_{n+i}$ , where  $i$  is an integer and  $i \leq p$ . Consider the number  $\tilde{x} = 0, x_1 \dots x_n t_1 t_2 \dots t_p t_1 t_2 \dots t_p \dots$ . Obviously,  $\tilde{x}$  is a periodic number of period  $t_1 t_2 \dots t_p$ , length  $p$  and with beginning  $n$ .

**Lemma 1.** Let  $x = 0, x_1 x_2 \dots x_n \dots x_l \dots$  be a real number such that

$$\tilde{\lambda}(q_1, x_1 x_2 \dots x_n) = \tilde{\lambda}(q_1, x_1 x_2 \dots x_n \dots x_l).$$

If the real number

$$\tilde{x} = 0, x_1 \dots x_n t_1 t_2 \dots t_p \dots t_1 t_2 \dots t_p \dots,$$

where  $t_i = x_{n+i}$  for  $1 \leq i \leq p-l-n$ , is given as input to the sequential machine  $A$ , then  $A$  has a state period of length  $p$  with beginning  $n$ , and the number  $\beta = 0, \beta_1 \beta_2 \dots \beta_n \dots$  which is output by  $A$  is periodic with a period of length  $p$  and with beginning  $n$ .

The proof of Lemma 1 is easy and is omitted here.

Now, suppose that the sequential machine  $A$  computes a given function  $f$ . Then, for the number  $\tilde{x} = 0, x_1 x_2 \dots x_n t_1 t_2 \dots t_p \dots t_1 t_2 \dots t_p \dots$  and for the function  $f$  the following lemma holds.

**Lemma 2.** Let  $A$  be a sequential machine computing function  $f$ , and let  $x = 0, x_1 x_2 \dots x_n \dots x_l \dots$  be a real number such that

$$\tilde{\lambda}(q_1, x_1 x_2 \dots x_n) = \tilde{\lambda}(q_1, x_1 x_2 \dots x_n \dots x_l).$$

If  $f$  has a derivative at

$$\tilde{x} = 0, x_1 x_2 \dots x_n t_1 t_2 \dots t_p t_1 t_2 \dots t_p \dots,$$

where  $t_i = x_{n+i}$  for  $1 \leq i \leq p-l-n$ , then  $f$  is affine on the closed interval

$$I = [0, x_1 x_2 \dots x_n \overline{111} \dots, 0, x_1 x_2 \dots x_n 111 \dots].$$

**Proof.** Notice that if the hypotheses of Lemma 2 are satisfied, then those of Lemma 1 are satisfied too and, consequently,  $A$  has a state period of length  $p$  with beginning  $n$  at number  $\tilde{x}$  and it maps number  $\tilde{x}$  onto  $\beta = 0, \beta_1 \beta_2 \dots \beta_n \alpha_1 \alpha_2 \dots \alpha_p \alpha_1 \alpha_2 \dots \alpha_p \dots$  which is periodic with a period of length  $p$  and beginning  $n$ .

We thus have  $f(\tilde{x}) = \beta$ . Consider an arbitrary number  $y$  belonging to the interval  $I$ . The number  $y$  can be written as follows:

$$y = 0, x_1 x_2 \dots x_n y_1 y_2 \dots y_m \dots, \quad \text{where } y_i \in \{\overline{1}, 0, 1\}.$$

Consider now the following sequence of numbers:

$$\begin{aligned} z_0 &= y = 0, x_1 x_2 \dots x_n y_1 y_2 \dots y_m \dots, \\ z_1 &= 0, x_1 x_2 \dots x_n t_1 t_2 \dots t_p y_1 y_2 \dots y_m \dots, \\ z_2 &= 0, x_1 x_2 \dots x_n t_1 t_2 \dots t_p t_1 t_2 \dots t_p y_1 y_2 \dots y_m \dots, \\ &\quad \vdots \qquad \qquad \qquad \vdots \qquad \qquad \qquad \vdots \\ z_i &= 0, x_1 x_2 \dots x_n \underbrace{t_1 t_2 \dots t_p \dots t_1 t_2 \dots t_p}_{i \times p} y_1 y_2 \dots y_m \dots, \\ &\quad \vdots \qquad \qquad \qquad \vdots \qquad \qquad \qquad \vdots \end{aligned}$$

For each  $i$ , consider  $\tilde{x} - z_i$  and  $f(\tilde{x}) - f(z_i)$ :

$$\begin{aligned}\tilde{x} - z_i &= 0, x_1 x_2 \dots x_n t_1 t_2 \dots t_p t_1 t_2 \dots t_p \dots \\ &\quad - 0, x_1 x_2 \dots x_n \underbrace{t_1 t_2 \dots t_p \dots t_1 t_2 \dots t_p}_{i \times p} y_1 y_2 \dots y_m \dots \\ &= 0, \underbrace{00 \dots 0}_{n+i \cdot p} t_1 t_2 \dots t_p t_1 t_2 \dots t_p t_1 t_2 \dots t_p \dots - 0, \underbrace{00 \dots 0}_{n+i \cdot p} y_1 y_2 \dots y_m \dots \\ &= 0, \underbrace{00 \dots 01}_{n+i \cdot p} \cdot (0, t_1 t_2 \dots t_p t_1 t_2 \dots t_p \dots - 0, y_1 y_2 \dots y_m \dots).\end{aligned}$$

As we have already noticed,

$$f(\tilde{x}) = \beta = 0, \beta_1 \beta_2 \dots \beta_n \alpha_1 \alpha_2 \dots \alpha_p \alpha_1 \alpha_2 \dots \alpha_p \dots$$

and

$$f(y) = 0, \beta_1 \beta_2 \dots \beta_n \gamma_1 \gamma_2 \dots \gamma_m \dots$$

Taking into account that

$$\tilde{\lambda}(q_1, x_1 x_2 \dots x_n) = \tilde{\lambda}(q_1, x_1 x_2 \dots x_n \underbrace{t_1 t_2 \dots t_p \dots t_1 t_2 \dots t_p}_{i \times p}),$$

it ensues that for each integer  $i$ ,  $i \leq 0$ ,

$$f(z_i) = 0, \beta_1 \beta_2 \dots \beta_n \underbrace{\alpha_1 \alpha_2 \dots \alpha_p \dots \alpha_1 \alpha_2 \dots \alpha_p}_{i \times p} \gamma_1 \gamma_2 \dots \gamma_m \dots$$

Consequently,

$$\begin{aligned}f(\tilde{x}) - f(z_i) &= 0, \beta_1 \beta_2 \dots \beta_n \alpha_1 \alpha_2 \dots \alpha_p \alpha_1 \alpha_2 \dots \alpha_p \dots \\ &\quad - 0, \beta_1 \beta_2 \dots \beta_n \underbrace{\alpha_1 \alpha_2 \dots \alpha_p \dots \alpha_1 \alpha_2 \dots \alpha_p}_{i \times p} \gamma_1 \gamma_2 \dots \gamma_m \dots \\ &= 0, \underbrace{00 \dots 0}_{n+i \cdot p} \alpha_1 \alpha_2 \dots \alpha_p \alpha_1 \alpha_2 \dots \alpha_p \dots - 0, \underbrace{00 \dots 0}_{n+i \cdot p} \gamma_1 \gamma_2 \dots \gamma_m \dots \\ &= 0, \underbrace{00 \dots 01}_{n+i \cdot p} \cdot (0, \alpha_1 \alpha_2 \dots \alpha_p \alpha_1 \alpha_2 \dots \alpha_p \dots - 0, \gamma_1 \gamma_2 \dots \gamma_m \dots).\end{aligned}$$

Now notice that

$$\frac{f(\tilde{x}) - f(z_i)}{\tilde{x} - z_i} = \frac{0, \underbrace{00 \dots 01}_{n+i \cdot p} \cdot (0, \alpha_1 \alpha_2 \dots \alpha_p \alpha_1 \alpha_2 \dots \alpha_p \dots - 0, \gamma_1 \gamma_2 \dots \gamma_m \dots)}{0, \underbrace{00 \dots 01}_{n+i \cdot p} \cdot (0, t_1 t_2 \dots t_p t_1 t_2 \dots t_p \dots - 0, y_1 y_2 \dots y_m \dots)} = K.$$

It can be seen that  $(f(\tilde{x}) - f(z_i))/(\tilde{x} - z_i)$  is the same for every integer  $i$ . Hence,

$$\lim_{i \rightarrow \infty} \frac{f(\tilde{x}) - f(z_i)}{\tilde{x} - z_i} = K,$$

and from the existence of the derivative at  $\tilde{x}$ , we get

$$f'(\tilde{x}) = K.$$

Besides, setting  $i = 0$ ,

$$\frac{f(\tilde{x}) - f(z_0)}{\tilde{x} - z_0} = \frac{f(\tilde{x}) - f(y)}{\tilde{x} - y} = K$$

is also obtained.

Consequently,

$$f(y) = (y - \tilde{x})K + f(\tilde{x}) = Ky + f(\tilde{x}) - K\tilde{x}.$$

Therefore,  $f(y) = Ky + f(\tilde{x}) - K\tilde{x}$ , where  $\tilde{x}, K, f(\tilde{x})$ , are constants, holds for any  $y$  in the interval  $I$ . Hence, the lemma is proved.  $\square$

**Definition 3.** Let  $A$  be a sequential machine and let  $M$  be the number of states of machine  $A$ . The periodic number

$$\tilde{x} = 0, x_1 x_2 \dots x_n t_1 t_2 \dots t_p t_1 t_2 \dots t_p \dots,$$

where  $1 \leq n < n + p \leq M + 1$ , is called test number for  $A$  if  $A$  has a state period of length  $p$  with beginning  $n$  for input  $\tilde{x}$ .

**Remark.** For any sequential machine  $A$ , the number of test numbers for machine  $A$  is finite.

The interval  $I$  defined as

$$I = [0, x_1 x_2 \dots x_n \overline{111} \dots, 0, x_1 x_2 \dots x_n 111 \dots]$$

is called the interval adapted to  $\tilde{x} = 0, x_1 x_2 \dots x_n t_1 t_2 \dots t_p t_1 t_2 \dots t_p \dots$

**Theorem 1.** Assume that  $f$  is on-line computable by a sequential machine  $A$ . If  $f$  has first-order derivatives at all test numbers of  $A$ , then  $f$  is affine in  $[-1, 1]$ .

**Proof.** Let  $M$  be the number of states of machine  $A$ . We consider a sequence  $x_1, x_2, \dots, x_M, x_{M+1}$ ,  $i \leq M + 1$ ,  $x_i \in \{0, 1\}$ . Giving this sequence as input to the machine  $A$ , we see that there is a state  $\Phi_0$  of  $A$  which occurs twice, because the number of states of  $A$  is equal to  $M$ . Let

$$\Phi_0 = \lambda(q_1, x_1 x_2 \dots x_n) = \lambda(q_1, x_1 x_2 \dots x_n x_{n+1} \dots x_l).$$

Consider the real number

$$\tilde{x} = 0, x_1 x_2 \dots x_n x_{n+1} \dots x_l x_{n+1} \dots x_l \dots$$

It is a test number for  $A$  because it is a periodic number with period  $x_{n+1} \dots x_l$ , length  $l - n$ , and beginning  $n$ , where  $n < l \leq M + 1$ . The number  $\tilde{x}$ , the machine  $A$  and the function  $f$  satisfy the conditions of Lemma 2. Therefore,  $f$  is affine on the interval

$$I = [0, x_1 x_2 \dots x_n \overline{111} \dots, 0, x_1 x_2 \dots x_n 111 \dots]$$

Now consider all finite sequences  $x_1, x_2, \dots, x_M, x_{M+1}$ , where  $x_i \in \{0, 1\}$ , for  $i \leq M + 1$ . There are  $2^{M+1}$  different sequences  $x_1, x_2, \dots, x_M, x_{M+1}$ , namely,

$$\begin{array}{l} x_{1,1}, x_{1,2}, \dots, x_{1,M+1}, \\ x_{2,1}, x_{2,2}, \dots, x_{2,M+1}, \\ \vdots \quad \vdots \quad \vdots \\ x_{i,1}, x_{i,2}, \dots, x_{i,M+1}, \\ \vdots \quad \vdots \quad \vdots \\ x_{2^{M+1},1}, x_{2^{M+1},2}, \dots, x_{2^{M+1},M+1}. \end{array}$$

Let

$$x^{(i)} = 0, x_{1,1} x_{1,2} \dots x_{1,M+1} 00 \dots 0 \dots, \quad \text{where } 1 \leq i \leq 2^{M+1}.$$

It is assumed that if  $i \leq j$ , then  $x^{(i)} \leq x^{(j)}$ .

Notice that

$$x^{(i+1)} - x^{(i)} = \frac{1}{2^{M+1}}, \quad \text{where } 1 \leq i \leq 2^{M+1}.$$

For each sequence  $x_{i,1}, x_{i,2}, \dots, x_{i,M+1}$  define the real number

$$y^{(i)} = 0, x_{i,1} x_{i,2} \dots x_{i,n_i} x_{i,n_i+1} \dots x_{i,l_i} x_{i,n_i+1} \dots x_{i,l_i} \dots,$$

where

$$1 \leq n_i < l_i \leq M + 1.$$

With  $y^{(i)}$  as input,  $A$  has a state period of length  $l_i - n_i$  and with beginning  $n_i$ . Obviously, all the  $y^{(i)}$ ,  $1 \leq i \leq 2^{M+1}$ , are test numbers. Hence, for every  $y^{(i)}$ ,  $1 \leq i \leq 2^{M+1}$ ,  $f'(y^{(i)})$  is defined and consequently  $f$  is affine on

$$R_i = [0, x_{i,1} x_{i,2} \dots x_{i,n_i} \overline{111} \dots, 0, x_{i,1} x_{i,2} \dots x_{i,n_i} 111 \dots], \quad 1 \leq i \leq 2^{M+1}.$$

That is to say if  $z \in R_i$ ,

$$f(z) = K_i z + B_i,$$

where  $K_i, B_i$  are constants.

For every  $i$ ,  $i \leq 2^{M+1} - 1$ , consider the intervals

$$I_i = [0, x_{i,1} x_{i,2} \dots x_{i,M+1} \overline{111} \dots, 0, x_{i,1} x_{i,2} \dots x_{i,M+1} 111 \dots]$$

and

$$I_{i+1} = [0, x_{i+1,1}x_{i+1,2} \dots x_{i+1,M+1} \overline{111} \dots, 0, x_{i+1,1}x_{i+1,2} \dots x_{i+1,M+1} 111 \dots].$$

Obviously,

$$I_i \subseteq R_i \text{ and } I_{i+1} \subseteq R_{i+1} \text{ since } n_i \leq M+1 \text{ and } n_{i+1} \leq M+1.$$

Consider the real numbers

$$x^{(i)} = 0, x_{i,1}x_{i,2} \dots x_{i,M+1} 000 \dots \text{ and } x^{(i+1)} = 0, x_{i+1,1}x_{i+1,2} \dots x_{i+1,M+1} 000 \dots$$

Notice that

$$x^{(i)} < x^{(i+1)} \text{ and that } x^{(i+1)} - x^{(i)} = \frac{1}{2^{M+1}}.$$

Hence,

$$x^{(i+1)} = x^{(i)} + \frac{1}{2^{M+1}}.$$

It follows that

$$\begin{aligned} 0, x_{i,1}x_{i,2} \dots x_{i,M+1} 111 \dots &= 0, x_{i,1}x_{i,2} \dots x_{i,M+1} 000 \dots + 0, \underbrace{00 \dots 0}_{M+1} 111 \dots \\ &= x^{(i)} + \frac{1}{2^{M+1}} = x^{(i+1)}, \end{aligned}$$

consequently,

$$\begin{aligned} I_i \cap I_{i+1} &= [0, x_{i,1}x_{i,2} \dots x_{i,M+1} \overline{111} \dots, 0, x_{i+1,1}x_{i+1,2} \dots x_{i+1,M+1} 000 \dots] \\ &\quad \cap [0, x_{i+1,1}x_{i+1,2} \dots x_{i+1,M+1} \overline{111} \dots, 0, x_{i+1,1}x_{i+1,2} \dots x_{i+1,M+1} 111 \dots] \\ &= [0, x_{i+1,1}x_{i+1,2} \dots x_{i+1,M+1} \overline{111} \dots, 0, x_{i+1,1}x_{i+1,2} \dots x_{i+1,M+1} 000 \dots]. \end{aligned}$$

Therefore  $I_i \cap I_{i+1}$  is a nonempty interval which is not reduced to a single point. But

$$I_i \cap I_{i+1} \subseteq R_i \cap R_{i+1}$$

since

$$I_i \subseteq R_i \text{ and } I_{i+1} \subseteq R_{i+1}.$$

Therefore,  $R_i \cap R_{i+1}$  also is a nonempty interval which is not reduced to a single point.

Function  $f$  is affine on the intervals  $R_i$  and  $R_{i+1}$  and so

$$f(y) = \begin{cases} K_i y + B_i & \text{if } y \in R_i, \\ K_{i+1} y + B_{i+1} & \text{if } y \in R_{i+1}. \end{cases}$$

There are two real numbers  $v$  and  $w$  such that

$$v, w \in R_i \cap R_{i+1} \text{ and } v \neq w.$$



Thus,

$$\begin{aligned} f(v) &= K_i v + B_i, \quad f(v) = K_{i+1} v + B_{i+1}, \\ f(w) &= K_i w + B_i, \quad f(w) = K_{i+1} w + B_{i+1}. \end{aligned}$$

Therefore,

$$K_i = K_{i+1} \quad \text{and} \quad B_i = B_{i+1}.$$

Equalities

$$K_1 = K_2 = \dots = K_{2^{M+1}} \quad \text{and} \quad B_1 = B_2 = \dots = B_{2^{M+1}}$$

are obtained, and for  $u \in \bigcup_{i=1}^{2^{M+1}} R_i$ ,

$$f(u) = K_1 u + B_1.$$

As  $I_i \subseteq R_i$ ,  $\bigcup_{i=1}^{2^{M+1}} I_i \subseteq \bigcup_{i=1}^{2^{M+1}} R_i$  holds. Hence, for every  $v \in \bigcup_{i=1}^{2^{M+1}} I_i$

$$f(v) = K_1 \cdot v + B_1.$$

We thus obtain that  $f$  is affine on

$$\bigcup_{i=1}^{2^{M+1}} I_i = [0, \underbrace{00 \dots 0}_{M+1} \overline{11} \dots, 1],$$

and in the same way,  $f$  is affine on

$$[-1, 0, \underbrace{00 \dots 0}_{M+1} 111 \dots].$$

Finally, we obtain that  $f$  is affine on  $[-1, 1]$ . Theorem 1 is thus proved.  $\square$

**Theorem 2.** Assume that function  $f$  is on-line computable by a sequential machine  $A$ . If for all the test numbers  $x_i$ , the points  $(x_i, f(x_i))$  are on the same line then  $f$  is affine in  $[-1, 1]$ .

**Proof.** Let  $D$  be the set of numbers such that for every number  $x \in D$ ,  $(x, f(x))$  is on the same line as the points  $(x_i, f(x_i))$  for  $x_i$  ranging over the set of test numbers. Let  $n$  be fixed and let  $x = 0, x_{0,1}x_{0,2} \dots x_{0,n}x_{0,n+1} \dots$  be a real number such that  $x_{0,n} \neq 0$  and for every integer  $j$  with  $j > n$   $x_{0,j} = 0$ , thus

$$x = 0, x_{0,1}x_{0,2} \dots x_{0,n}000 \dots$$

If  $x \in D$  is proved, then the set of numbers  $D$  will be proved to be everywhere dense and, from the continuity of on-line computable functions [2], it will follow that  $f$  is affine on the interval  $[-1, 1]$ .

Now, construct, by induction, two finite sequences.

*Step 0:* Let

$$z_0 = x = 0, x_{0,1}x_{0,2} \dots x_{0,n} \dots$$

Consider the sequence  $x_{0,1}, x_{0,2}, \dots, x_{0,M+1}$ . Because the number of states of  $A$  is equal to  $M$ , if the sequence  $x_{0,1}, x_{0,2}, \dots, x_{0,M+1}$  is given as input to  $A$ , one can see that there is a state  $\Phi_0$  of machine  $A$  which must occur twice.

Let

$$\Phi_0 = \lambda(q_1, x_{0,1}x_{0,2} \dots x_{0,s}) = \lambda(q_1, x_{0,1}x_{0,2} \dots x_{0,s}x_{0,s+1} \dots x_{0,r}),$$

where  $s < r \leq M + 1$ . Define

$$y_0 = 0, x_{0,1}x_{0,2} \dots x_{0,s}x_{0,s+1} \dots x_{0,r}x_{0,s+1} \dots x_{0,r} \dots$$

Obviously,  $y_0$  is a test number. If  $z_0$  is a test number, the construction of the sequences  $\{z_j\}_{j=0}^m$  and  $\{y_j\}_{j=0}^m$  is completed. Otherwise construct  $z_1$  from  $z_0$  by removing the sequence of digits  $x_{0,s+1}x_{0,s+2} \dots x_{0,r}$ .

From this we obtain

$$z_1 = 0, x_{0,1}x_{0,2} \dots x_{0,s}x_{0,r+1}x_{0,r+2} \dots,$$

and denote

$$z_1 = 0, x_{1,1}x_{1,2} \dots x_{1,s}x_{1,s+1} \dots$$

Step  $p$ :

$$z_p = 0, x_{p,1}x_{p,2} \dots x_{p,n} \dots$$

Consider the sequence  $x_{p,1}, x_{p,2}, \dots, x_{p,M+1}$ . Giving this sequence as input to machine  $A$ , it is likewise seen that, since the number of states of machine  $A$  is equal to  $M$ , there is a state  $\Phi_p$  of machine  $A$  which must occur twice.

Let

$$\Phi_p = \lambda(q_1, x_{p,1}x_{p,2} \dots x_{p,k}) = \lambda(q_1, x_{p,1}x_{p,2} \dots x_{p,k}x_{p,k+1} \dots x_{p,l}).$$

Let us take

$$y_p = 0, x_{p,1}x_{p,2} \dots x_{p,k}x_{p,k+1} \dots x_{p,l}x_{p,k+1} \dots x_{p,l} \dots,$$

where  $k < l \leq M + 1$ . Obviously,  $y_p$  is a test number. If  $z_p$  is a test number, then the construction is completed. Otherwise we construct  $z_{p+1}$  from  $z_p$  by removing the sequence of digits  $x_{p,k+1}x_{p,k+2} \dots x_{p,l}$ , and we obtain

$$z_{p+1} = 0, x_{p,1}x_{p,2} \dots x_{p,k}x_{p,l+1}x_{p,l+2} \dots$$

Denote

$$z_{p+1} = 0, x_{p+1,1}x_{p+1,2} \dots x_{p+1,k}x_{p+1,k+1} \dots$$

Now, let us prove that both sequences  $\{z_j\}_{j=0}^m$  and  $\{y_j\}_{j=0}^m$  are finite. Assume this is not the case. If the sequences are infinite, then for every  $j$ ,  $z_j$  is not a test number. We also have that

$$x = 0, x_{0,1}x_{0,2} \dots x_{0,n}000 \dots,$$

and at every step  $j$  we have

$$z_j = 0, x_{j,1}x_{j,2} \dots x_{j,s_j} 000 \dots$$

Obviously, at least one of the  $x_{0,i}$ ,  $i = 1, 2, \dots, n$ , is removed at every step  $j$ ,  $j = 1, 2, \dots$ . Otherwise, if it is assumed that at step  $p + 1$  no  $x_{0,i}$  is removed  $i = 1, 2, \dots, n$ , the following would hold:

$$\begin{aligned} z_p &= 0, x_{p,1}x_{p,2} \dots x_{p,n} \dots, \\ y_p &= 0, x_{p,1}x_{p,2} \dots x_{p,k}x_{p,k+1} \dots x_{p,l}x_{p,k+1} \dots x_{p,l} \dots, \\ z_{p+1} &= 0, x_{p,1}x_{p,2} \dots x_{p,k}x_{p,l+1}x_{p,l+2} \dots, \end{aligned}$$

where  $x_{p,r} = x_{0,t}$  with  $r > k, t > n$ . Consequently,  $x_{p,r} = x_{0,t} = 0$ , and then

$$z_p = z_{p+1} = y_p = 0, x_{p,1}x_{p,2} \dots x_{p,k} 000 \dots,$$

and it would follow from this that  $z_p$  would be a test number for machine  $A$ , and so a contradiction would be reached. Thus at each step, at least one of the  $x_{0,i}$ ,  $i = 1, 2, \dots, n$ , is removed.

Since the number of numbers  $x_{0,i}$ ,  $i = 1, 2, \dots, n$ , is equal to  $n$ , the construction of both sequences  $\{z_j\}_{j=0}^m$  and  $\{y_j\}_{j=0}^m$  stops after a finite number of steps  $m + 1 \leq n + 1$ . Consequently,  $\{z_j\}_{j=0}^m$  and  $\{y_j\}_{j=0}^m$  are finite sequences, and  $z_{m+1}$  is a test number for  $A$ . It is obvious that  $z_{p+1} \neq y_p$ ,  $z_{p+1} \neq z_p$ ,  $y_p \neq z_p$  for each  $p$ ,  $p \leq m$ .

Let us now prove that, for each  $p \leq m$ , the points  $(z_p, f(z_p))$ ,  $(y_p, f(y_p))$  and  $(z_{p+1}, f(z_{p+1}))$  are on the same line. We have

$$\begin{aligned} I &= [0, x_1x_2 \dots x_n \overline{111} \dots, 0, x_1x_2 \dots x_n 111 \dots], \\ z_p &= 0, x_{p,1}x_{p,2} \dots x_{p,k}x_{p,k+1} \dots x_{p,l}x_{p,l+1} \dots x_{p,n} \dots, \\ y_p &= 0, x_{p,1}x_{p,2} \dots x_{p,k}x_{p,k+1} \dots x_{p,l}x_{p,k+1} \dots x_{p,l} \dots, \\ z_{p+1} &= 0, x_{p,1}x_{p,2} \dots x_{p,k}x_{p,l+1}x_{p,l+2} \dots \end{aligned}$$

Taking into account that

$$\lambda(q_1, x_{p,1}x_{p,2} \dots x_{p,k}) = \lambda(q_1, x_{p,1}x_{p,2} \dots x_{p,k}x_{p,k+1} \dots x_{p,l}),$$

we also have

$$\begin{aligned} f(z_p) &= 0, \beta_1\beta_2 \dots \beta_k\alpha_1\alpha_2 \dots \alpha_{l-k}\gamma_1\gamma_2\gamma_3 \dots, \\ f(y_p) &= 0, \beta_1\beta_2 \dots \beta_k\alpha_1\alpha_2 \dots \alpha_{l-k}\alpha_1\alpha_2 \dots \alpha_{l-k} \dots, \\ f(z_{p+1}) &= 0, \beta_1\beta_2 \dots \beta_k\gamma_1\gamma_2\gamma_3 \dots \end{aligned}$$

From the last three equalities, it follows that

$$\frac{f(y_p) - f(z_p)}{y_p - z_p} = \frac{f(y_p) - f(z_{p+1})}{y_p - z_{p+1}}.$$

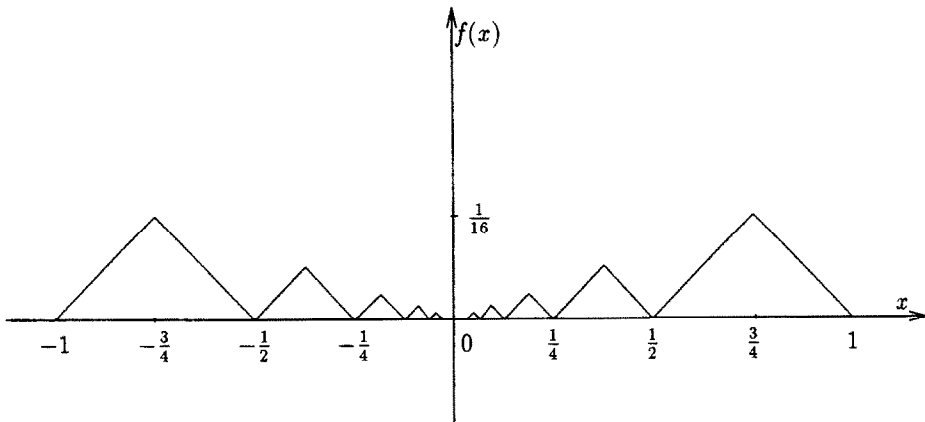


Fig. 1.

Thus, the points  $(z_p, f(z_p))$ ,  $(y_p, f(y_p))$  and  $(z_{p+1}, f(z_{p+1}))$  are on the same line for  $p=1, 2, \dots, m$ . Letting  $p=m$ , it follows that  $(z_m, f(z_m))$ ,  $(y_m, f(y_m))$  and  $(z_{m+1}, f(z_{m+1}))$  are on the same line; since  $z_{m+1} \in D$  and  $y_m \in D$ , we deduce that  $z_m \in D$ .

The same conclusion holds for every  $i$ ,  $i \leq m$ ,  $z_i \in D$ , and thus  $z_0 = x \in D$ . Theorem 2 is thus proved.  $\square$

**Theorem 3.** *There is a function which is on-line computable by a sequential machine, and which is not a piecewise affine function on  $[-1, 1]$ .*

Consider the function  $f$ , defined by (see Fig. 1)

$$f(x) = \begin{cases} 2^{-2}(x + 2^{-i}) & \text{if } x \in [-2^{-i}, -3 \cdot 2^{-(i+2)}], \\ 2^{-2}(-x - 2^{-(i+1)}) & \text{if } x \in [-3 \cdot 2^{-(i+2)}, -2^{-(i+1)}], \\ 2^{-2}(x - 2^{-(i+1)}) & \text{if } x \in [2^{-(i+1)}, 3 \cdot 2^{-(i+2)}], \\ 2^{-2}(2^{-i} - x) & \text{if } x \in [3 \cdot 2^{-(i+2)}, 2^{-i}], \\ 0 & \text{if } x = 0. \end{cases}$$

This function is continuous. Nevertheless, it is not piecewise affine on  $[-1, 1]$  because there is no interval containing 0, on which the function is affine.

Remark that if

$$x \in \left[-\frac{1}{2^i}, -\frac{1}{2^{i+1}}\right] \cup \left[\frac{1}{2^{i+1}}, \frac{1}{2^i}\right], \quad i = 0, 1, 2, \dots,$$

then

$$f(x) = 2^{-(i+2)} g_1(y) = 2^{-(i+2)} \cdot 2^{-2}(1 - |y|) \quad \text{where } y = 2^{i+2} \cdot |x| - 3$$

and if

$$x \in \left[-\frac{3}{2^{i+1}}, -\frac{3}{2^{i+2}}\right] \cup \left[\frac{3}{2^{i+2}}, \frac{3}{2^{i+1}}\right], \quad i = 1, 2, \dots,$$

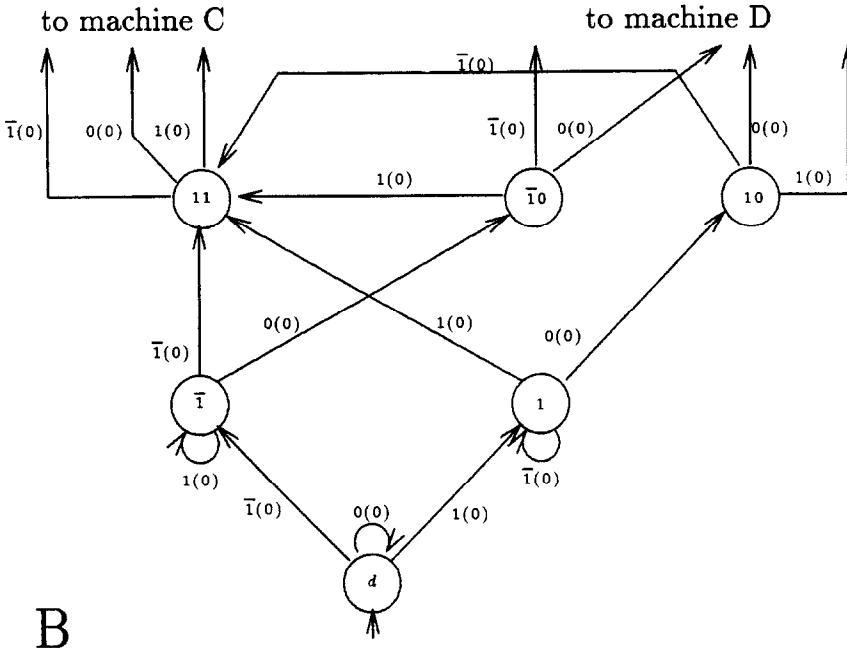


Fig. 2.

then

$$f(x) = 2^{-i} g_2(y) = 2^{-i} 2^{-2} |y| \quad \text{where } y = 2^i \cdot |x| - 1.$$

These alternative definitions of  $f$  are used for the construction of the sequential machine  $A$  that computes  $f$ .

The machine  $A$  consists of three submachines,  $B$ ,  $C$  and  $D$ .  $B$  computes either the coefficient  $2^{-(i+2)}$  or the coefficient  $2^{-i}$  and chooses between submachine  $C$  and submachine  $D$  accordingly.

If  $B$  determines that the input  $x$  belongs to

$$\left[ -\frac{1}{2^i}, -\frac{1}{2^{i+1}} \right] \cup \left[ \frac{1}{2^{i+1}}, \frac{1}{2^i} \right]$$

it computes the coefficient  $2^{-(i+2)}$ , takes state 11, and switches on submachine  $C$ .

If  $B$  determines that the input  $x$  belongs to

$$\left[ -\frac{3}{2^{i+1}}, -\frac{3}{2^{i+2}} \right] \cup \left[ \frac{3}{2^{i+2}}, \frac{3}{2^{i+1}} \right]$$

it computes the coefficient  $2^{-i}$ , takes state  $\bar{1}0$  or  $10$ , and switches on submachine  $D$  (see Fig. 2).

Submachine  $C$  (Fig. 3) is a sequential machine which computes function  $g_1(x) = 2^{-2}(1 - |x|)$ .

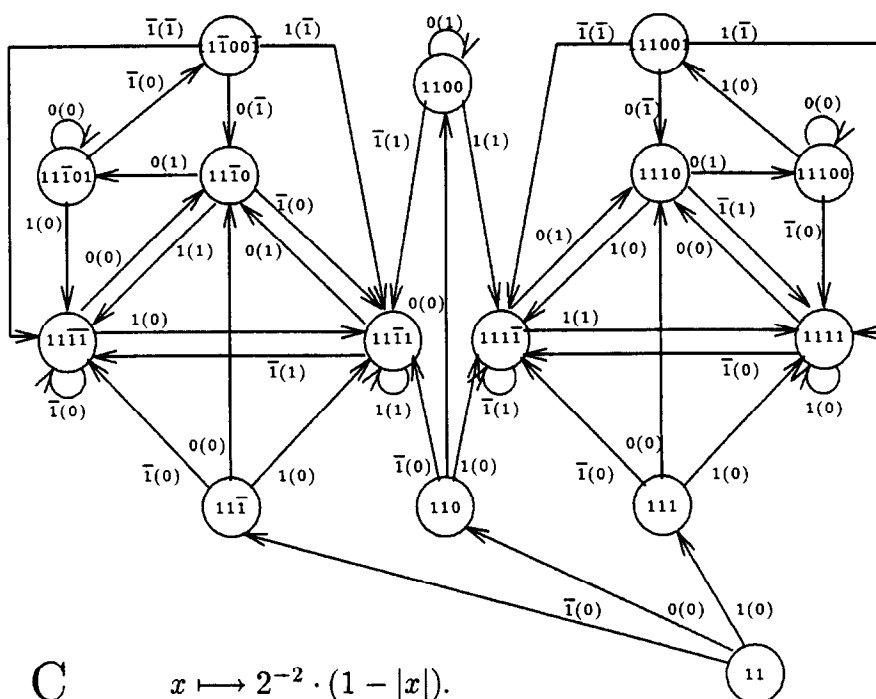


Fig. 3.

Submachine *D* (Fig. 4) is a sequential machine which computes function  $2^{-2}|x|$ . Finally, machine *A* computes the function *f*. The diagram of machine *A* is given in the appendix.

**Example.** Let  $x=0,11000\dots$ , and  $y=0,10111\dots$ . Obviously  $x=y$ , and  $f(x)=f(y)=2^{-4}$ .

For input  $x$  we have

Step	Input	Output	State
1	1	0	1
2	1	0	11
3	0	0	110
4	0	0	1100
5	0	1	1100
6	0	1	1100
$\vdots$	$\vdots$	$\vdots$	$\vdots$
$n$	0	1	1100
$\vdots$	$\vdots$	$\vdots$	$\vdots$



## 2. Conclusion

In this paper we have studied conditions of linearity for functions which are on-line computable by sequential machines. We defined the notion of test numbers for sequential machines which allowed us to find two necessary and sufficient conditions of linearity for such on-line computable functions. These conditions are given by Theorems 1 and 2. Theorem 2 allows to check effectively whether such a given on-line computable function is affine, because, according to the theorem, we only have to check if the values of the function are on the same line as a finite set of points corresponding to rational numbers and this is a decidable problem.

Moreover, Theorem 3 proves that sequential machines are able to compute continuous functions which are not piecewise affine.

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